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Attorney Docket No.: 018158-011610US Client Reference No.: VX-1098

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

MOV 2 4 2003

TOWNSEND and TOWNSEND and CREW LLP

Edward Masinas

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JOHN K. SHIMMICK

Application No.: 10/601,119

Filed: June 19, 2003

For: INTEGRATED SCANNING AND OCULAR TOMOGRAPHY SYSTEM

AND METHOD

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

JOHN K. SHIMMICK

Application No.: 10/601,119

Page 2

Applicant believes that <u>no fee is required</u> for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit

any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Mark D. Barrish Reg. No. 36,443

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MDB:ewm 60080977 v1

Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known 10/601,119 Application Number June 19, 2003 Filing Date SHIMMICK, JOHN K. First Named Inventor Art Unit Unassigned Examiner Name Unassigned 018158-011610US Attorney Docket Number

(use as many sheets as necessary)

| U.S. PATENT DOCUMENTS+ |                |  |                                |  |   |  |  |
|------------------------|----------------|--|--------------------------------|--|---|--|--|
| Se /                   |                | Document Number                          |                                |  |   |  |  |
| Examiner Initials*     | Cite<br>No.1   | Number Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |  |
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|                          | Cite<br>No. <sup>1</sup> | Foreign Patent Document   |                     |                                   |                                | Name of Patentee or          | Pages, Columns, Lines,                                   |            |
| Examiner<br>Initials*    |                          | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Applicant of Cited  Document | Where Relevant<br>Passages or Relevant<br>Figures Appear | <b>⊤</b> 8 |
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| Initials * No.' publisher, city and/or country where published.   | per(s), T |
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| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

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| ANG  |   |   | Applic                                 | ation Number           | 10/601,119  |  |  |
|  | TRANSMITTAL   |   |  | Date                   | June 19, 2003   |  |  |
| FORM   |   |   | First Named Inventor                   |                        | SHIMMICK, JOHN K.   |  |  |
| (to be used for all correspondence after initial filing)               |   |   | Art Unit                               |                        | Unassigned  |  |  |
|  |   |   | Exami                                  | ner Name               | Unassigned  |  |  |
| Total Number of Pages in This<br>Submission                            |   |   | Attorney Docket Number 018158-011610US |                        | 018158-011610US   |  |  |
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| Fee Attached   |   | Licensing-related Papers  |  | ed Papers              | Appeal Communication to Board of Appeals and Interferences        |  |  |
| ☐ Amendment/Reply  |   | Petition  |  |                        | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |  |  |
| After Final  |   | Petition to Convert to a Provisional Application                                  |  |                        | Proprietary Information   |  |  |
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| Response to Mis under 37 CFR 1.  |   |   |  |                        |   |  |  |
|  |   |   |  | ICANT, ATTORNEY,       | OR AGENT  |  |  |
| or   | Firm Townsend and Townsend and Crew LLP   |   |  |                        |   |  |  |
| Individual Ma  | rk D. Barrish   | Reg. No. 36,443   |  |                        |   |  |  |
| Signature  | 413   | كمت   |  |                        |   |  |  |
| Date November 18, 2003   |   |   |  |                        |   |  |  |
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| Typed or printed name  | Edward Masi   | nas   |  |                        |   |  |  |
| Signature Zdu  |   | vard Marias Date November 18, 2003  |  |                        |   |  |  |